# A SIMPLE APPROACH FOR DERIVING THE SYMBOL ERROR RATE OF NONRECTANGULAR $2^{2 K+1} M$-ARY AMPM MODULATION 

Patricio Latini - Ayham Al-Banna

ARRIS Group

## Abstract

This paper proposes a simple method to derive a closed-form expression for the exact symbol error probability of Non-Rectangular $2^{2 k+1}$ Amplitude Modulated Phase Modulated (AMPM) signals over an Additive White Gaussian Noise (AWGN) channel. The obtained expression is verified using MATLAB-based computer simulations of AMPM systems for different QAM modulation orders, including QAM8, QAM32, and QAM128. Finally, the derived equation for the exact symbol error probability is compared with the upper-bounded symbol error probability expression for different QAM orders

## I. INTRODUCTION

One way to achieve high data rates over bandlimited channels is to increase the number of bits per symbol using optimal signal constellations designed to provide efficient performance. Nowadays, Quadrature Amplitude Modulation (QAM) is one of the most common modulation schemes used in communications systems. In particular, square QAM constellations [1] that contain even number of bits, $2 k$, are widely used in many applications. Such systems have $M$ symbols $\left(M=2^{2 k}\right)$, where the symbols are arranged to produce a square signal constellation.

Recently, the desire to reduce transmission errors in communications systems motivated burst receivers to support techniques like Trellis Coded Modulations (TCM) [1] in order to gain higher decoding granularity and therefore recover symbols more accurately. Since TCM mainly uses double number of symbols when compared to a standard QAM signal constellation that does not employ TCM, the need for QAM constellations with odd number of bits ( $M=2^{2 k+1}$ ) has elevated lately.

Odd-bit QAM constellations are currently used in many applications like DOCSIS and HDSL [2] [3] [4]. However, some of these applications use odd-bit QAM constellations that are not arranged in rectangular fashion. In particular, these QAM constellations are arranged such that they are a special case of Amplitude-Modulated PhaseModulated (AMPM) signal constellations, which are designed to provide better efficiency in nonlinear distortion communication channels [5] [6]. AMPM modulation is also referred to as Carrierless Amplitude And Phase (CAP)-QAM modulation [4] [7].

Most of the previous research work has focused on rectangular even-bit QAM constellations, where a closed-form expression for the exact probability of symbol error in the presence of AWGN has already been analyzed and established [1] [8] [9] [10]. Researchers also studied odd-bit rectangular QAM constellations and developed expressions for the exact probability of symbol error after it was upper-bounded by the probability of symbol error of even-bit rectangular QAM constellations. In fact, various ways were developed, including simple geometrical procedures, to obtain a closed-form expression for the exact probability of symbol error in the presence of AWGN. Specifically, the authors in [11] proposed a geometrical approach to derive a closed-form expression for the probability of symbol error for the special case 8 -symbol rectangular QAM system in the presence of AWGN. While simple geometrical approaches were used to analyze even-bit and some odd-bit rectangular QAM systems, no equivalent work has been done for the more complicated odd-bit AMPM systems, where the signal constellation is not rectangular. This paper proposes a simple geometrical procedure to derive an expression for the exact probability of symbol error for an $M$-ary AMPM system in the presence of AWGN.

While other researchers recently developed an expression for the exact probability of symbol error for an odd-bit $M$-ary AMPM system in the presence of AWGN [7], their method was based on Craig's approach [12], which requires evaluating complicated integrals and results were only shown for an $8-\mathrm{CAP} / \mathrm{QAM}$ system. On the other hand, our paper proposes utilizing the simple familiar geometrical approach, which is used in analyzing even-bit QAM constellations, to obtain the expression for the exact probability of symbol error as well as bit error of an odd-bit $M$-ary AMPM system in the presence of AWGN. Additionally, the derived expression in this paper is verified using MATLAB-based computer simulation for an oddbit $M$-ary $\left(M=2^{2 k+1}\right)$ AMPM system. The results for different modulation orders are also contrasted against the upper-bound limits for the probability of symbol error of such a system.

This paper shows that geometrical approaches can be used to evaluate the probability of symbol error in signal constellations, where the shape of decision regions is irregular and more complicated than just a square or rectangle. This geometrical approach is based on the simple and familiar concept of calculating the error probability for twosymbol Pulse Amplitude Modulated (PAM) system using the Maximum Likelihood Ratio (MLR) [1] [8] as the basis for decision.

This paper is organized as follows. Section II provides a brief overview of odd-bit $M$-ary signal constellations for which the expression of symbol error probability is derived. The derivation of the exact expression for the probability of symbol error as well as bit error using the geometrical approach is detailed in section III. Section IV compares the derived expression with previous work, simulation results, and upper-bounded system limits. Finally, the paper is concluded in Section V.

## II. $2^{2 K+1} M$-ARY AMPM SYSTEMS

Non-rectangular $2^{2 k+1} M$-ary AMPM signal constellations can be represented as two offsetoverlapped $2^{2 k}$ rectangular constellations. For example, Fig. 1 shows an 8 -ary AMPM system, where its constellation is broken into two rectangular constellations. Generally, the construction of each rectangular constellation is
based on two orthonormal basis functions $\varphi_{1}, \varphi_{2}$ given by

$$
\begin{gather*}
\varphi_{1}(t)=\sqrt{2 / \varepsilon_{g}} g(t) \cos \left(2 \pi f_{c} t\right)  \tag{1}\\
\varphi_{2}(t)=-\sqrt{2 / \varepsilon_{g}} g(t) \sin \left(2 \pi f_{c} t\right) \tag{2}
\end{gather*}
$$

where $g(t)$ is the symbol shaping pulse and $\varepsilon_{g}$ is the energy of the pulse $g(t) . f_{c}$ is the center frequency of the modulated signal. The symbols in each constellation can then be represented via the orthonormal functions as

$$
\begin{equation*}
s_{n i}=A_{m i} \varphi_{1}+B_{m i} \varphi_{2}, n=1, \ldots M / 2, i=1,2 \tag{3}
\end{equation*}
$$

where $s_{n i}$ is the $n^{\text {th }}$ symbol in the $i^{\text {th }}$ constellation. The symbols $s_{n i}$ is composed of the combination of two levels $A_{m i}$ and $B_{\mathrm{mi}}$, in the $\varphi_{1}$ and $\varphi_{2}$ directions, respectively. The above representation of the symbol $s_{n i}$ can be expressed as a space vector given by

$$
s_{n i}=\left[\begin{array}{ll}
A_{m i} & B_{m i}
\end{array}\right]=\left[\begin{array}{ll}
\sqrt{\varepsilon_{g} / 2} \cdot a_{m i} & \sqrt{\varepsilon_{g} / 2} \cdot b_{m i} \tag{4}
\end{array}\right]
$$

where $a_{m i}$ is the $m^{\text {th }}$ level in the $\varphi_{1}$ direction for the $i^{\text {th }}$ constellation and, similarly, $b_{\mathrm{mi}}$ is the $m^{\text {th }}$ level in the $\varphi_{2}$ direction for the $i^{\text {th }}$ constellation. The levels $a_{m i}$ and $b_{m i}$ are expressed as

$$
\begin{array}{ll}
a_{m i}=(4 m-1-2 \sqrt{M / 2}) d ; & 1 \leq m \leq \sqrt{M / 2}, i=1,2 \\
b_{m i}=(4 m-1-2 \sqrt{M / 2}) d ; & 1 \leq m \leq \sqrt{M / 2}, i=1,2 \tag{6}
\end{array}
$$

where $d$ is the distance between two consecutive $a_{m i}$ or $b_{\mathrm{mi}}$ levels and $M=2^{2 k+1}$ is the total number of symbols in the $M$-ary AMPM system. Observe that the total number of symbols in the above 2 constellation system is $2 \sqrt{M / 2}^{2}=M$.


Fig. 1. Constellation of an 8-ary AMPM system is broken into two rectangular constellations

Calculating the average symbol energy of all symbols in the $M$-ary AMPM system, $\varepsilon_{s}$, consists of averaging the energy of all the equally likely symbols in both constellations as 5

$$
\begin{equation*}
\varepsilon_{S}=\frac{1}{M} \sum_{\substack{n=1 \ldots \\ i=1,2}} \frac{M}{2} \varepsilon_{n i}=\frac{1}{M} \sum_{\substack{n=1 \ldots \frac{M}{2} \\ i=1,2}}\left|s_{n i}\right|^{2} \tag{7}
\end{equation*}
$$

where $\varepsilon_{n i}$ is the energy of the symbol $s_{n i}$. Expressing $\varepsilon_{s}$ as the average energy of all symbols in both constellations, (7) can be rewritten as

$$
\begin{array}{r}
\varepsilon_{a v}=\frac{1}{M}\left[2 \cdot \sum _ { m _ { 1 } = 1 } ^ { \sqrt { \frac { M } { 2 } } } \sum _ { m _ { 2 } = 1 } ^ { \sqrt { \frac { M } { 2 } } } \left(\left(\sqrt{\frac{\varepsilon g}{2}}\left(4 m_{1}-1-2 \sqrt{M / 2}\right) d\right)^{2}+\right.\right. \\
\left.\left.\left(\sqrt{\frac{\varepsilon g}{2}}\left(4 m_{2}-1-2 \sqrt{M / 2}\right) d\right)^{2}\right\}\right] \tag{8}
\end{array}
$$

From (8), it can be shown that the average symbol energy in $2^{2 k+1} M$-ary AMPM system is given be

$$
\begin{equation*}
\varepsilon_{s}=\frac{\varepsilon_{g}}{3} d^{2}(2 M-1) \tag{9}
\end{equation*}
$$

The detector of the $2^{2 k+1} M$-ary AMPM system consists of two correlators that use $\varphi_{1}$ and $\varphi_{2}$ as reference signals. After integration over the symbol duration, the output of both correlators form coordinates of the received symbol. The process of symbols decoding depends on the MLR concept [8], where the ideal symbol closest to the received symbol (i.e., minimum Euclidean distance) is selected to be the output of the symbol detector. Since all symbols are equally likely, the decision regions represent the half-point traces between ideal
symbols as shown in Fig. 2 and 3. Observe that the constellations in Fig. 2 and Fig. 3 contain irregularshape decision regions, which are more complicated than the familiar rectangular decision regions found in rectangular QAM systems.


Fig. 2. Different decision region types composes the constellation of an 8-ary AMPM system


Fig. 3. Different decision region types composes the constellation of a 32-ary AMPM system (General case of 4 decision region types)

## III. Derivation of the Error Performance EXPRESSIONS

In this section, we utilize geometrical approach to develop closed-form expressions for the Symbol Error Probability (SEP) and Bit Error Probability (BEP) of $2^{2 k+1} M$-ary AMPM system in the presence of AWGN.

Observe from Fig. 2 and 3 that the constellation of an $M$-ary AMPM system contains four different
types of decision regions denoted by Type 1, Type 2, Type 3, and Type 4 on Fig. 2 and 3. The number of constellation points that belong to those regions are denoted by $N_{1}, N_{2}, N_{3}$, and $N_{4}$, respectively. They are given in Table 1.

When applying the MLR concept (shortest Euclidean distance decision rule) to decide which symbol has been transmitted, correct symbol detection occurs if the noise is small enough to keep the received symbol within the decision region of the transmitted symbol. Since the constellations under study contain four different decision regions types, the probability of correctly decoding a particular symbol will depend on the shape of the decision region of the transmitted symbol.

Table 1. Number of constellation points in different decision regions Table Type Styles

| Decision <br> Region | Number of <br> constellation points |
| :---: | :--- |
| Type 1 | $N_{1}=2(\sqrt{M / 2}-1)^{2}$ |
| Type 2 | $N_{2}=2$ |
| Type 3 | $N_{3}=4$ |
| Type 4 | $N_{4}=(\sqrt{M / 2}-2) 4$ |
| Total | $N_{1}+N_{2}+N_{3}+N_{4}=M$ |

Therefore, the process of calculating the probability of decoding symbols correctly, $P_{c}$, involves calculating the probability of correct symbol decoding in every decision region type, which can be expressed as

$$
\begin{equation*}
P_{c}=\sum_{i=1}^{M} P_{c}\left(C \mid s_{i}\right) P\left(s_{i}\right) \tag{10}
\end{equation*}
$$

where $s_{i}$ is the $i^{\text {th }}$ symbol in the $M$-ary AMPM constellation, $P\left(s_{i}\right)$ is the probability of the symbol $s_{i}$, and $P_{c}\left(C \mid s_{i}\right)$ is the probability of correct decoding given $s_{i}$ was transmitted. When all symbols are equally likely, the probability of correct symbol decoding can be represented as

$$
\begin{equation*}
P_{c}=\frac{1}{M} \sum_{i=1}^{M} P_{c}\left(C \mid s_{i}\right) \tag{11}
\end{equation*}
$$

Observe that the $P_{c}\left(C \mid s_{i}\right)$ term is a function of the transmitted symbol and therefore depends on the
decision region that corresponds to that symbol. Since all symbols that correspond to each decision region are equally likely and there are only four different decision regions, the probability of correct symbol decoding, $P_{c}$, in (11) can be rewritten as

$$
\begin{equation*}
P_{c}=\frac{1}{M}\left[N_{1} \cdot P_{c 1}+N_{2} \cdot P_{c 2}+N_{3} \cdot P_{c 3}+N_{4} \cdot P_{c 4}\right] \tag{12}
\end{equation*}
$$

where $P_{c 1}, P_{c 2}, P_{c 3}, P_{c 4}$ and are the probability of correct symbol decoding given that the transmitted symbol corresponds to decision region Type 1, Type 2, Type 3, and Type 4, respectively.
The derivation starts by evaluating the probability of correct symbol decoding given that the transmitted symbol belongs to decision region Type 1 shown in Fig. 4. Using the familiar MLR concept after rotating the decision region by $45^{\circ}, P_{c 1}$ can be expressed as [1] [8]

$$
\begin{equation*}
P_{c 1}=P\left(\left|n_{a}\right|<\sqrt{2} d \sqrt{\frac{\varepsilon_{g}}{2}},\left|n_{b}\right|<\sqrt{2} d \sqrt{\frac{\varepsilon_{g}}{2}}\right) \tag{13}
\end{equation*}
$$

where $n_{a}$ and $n_{b}$ are the zero-mean noise components in the $\varphi_{1}$ and $\varphi_{2}$ directions, respectively, with variance of $N_{o} / 2$, where $N_{o} / 2$ represents the two-sided AWGN power spectral density level. It can be shown that (13) can be rewritten as [8]

$$
\begin{gather*}
P_{c 1}=\left(1-2 P\left(\left|n_{a}\right|>\sqrt{2} d \sqrt{\frac{\varepsilon_{g}}{2}}\right)\right)(1 \\
\left.-2 P\left(\left|n_{b}\right|>\sqrt{2} d \sqrt{\frac{\varepsilon_{g}}{2}}\right)\right) \\
=\left(1-2 Q\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right)\left(1-2 Q\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right)\right)\right. \\
P_{c 1}=1-4 Q\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right)+4 Q^{2}\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right) \tag{14}
\end{gather*}
$$

where $Q(\cdot)$ is the familiar often-tabulated function, which is the tail probability of the standard normal distribution [1].


Fig. 4. Decision region Type 1 in an $M$-ary AMPM system
Next, $P_{c 2}$, which represents the probability of correct symbol decoding given that the transmitted symbol corresponds to the more-complicated decision region Type 2, is evaluated using geometrical approaches similar to those developed in [11]. The decision region Type 2 represents the shaded area in in Fig. 5, where $P_{c 2}$ is equal to the area $R_{2}^{\prime}$ minus the area $R_{2}^{\prime \prime}$, where both areas are evaluated under the noise Gaussian distribution curve. That is, $P_{c 2}$ can be represented as

$$
\begin{gather*}
P_{c 2}=P_{c}\left(C \mid s_{2}\right)=P_{c}\left(R_{2} \mid s_{2}\right)=P_{c}\left(R_{2}^{\prime} \mid s_{2}\right)-P_{c}\left(R_{2}^{\prime \prime} \mid s_{2}\right) \\
P_{c}\left(R_{2} \mid s_{2}\right)=P_{R_{2}^{\prime}}-P_{R_{2}^{\prime \prime}} \tag{15}
\end{gather*}
$$

where $s_{2}$ implies that the transmitted symbol corresponds to decision region Type 2 . The probability of correct decision occurring in $R_{2}^{\prime}$ (i.e., area of $R_{2}^{\prime}$ ), is easily calculated using the MLR principle described above and is given by

$$
\begin{gather*}
P_{R_{2}^{\prime}}=P_{c}\left(R_{2}^{\prime} \mid s_{2}\right)=\left(1-Q\left(2 \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right)\right)\left(1-Q\left(2 \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right)\right) \\
P_{R_{2}^{\prime}}=1-2 Q\left(2 \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right)+Q^{2}\left(2 \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right) \tag{16}
\end{gather*}
$$

In order to find $P_{c 2}$, the area $R_{2}^{\prime \prime}$ still needs to be calculated. $\quad R_{2}^{\prime \prime}$ can be geometrically found by taking one-quarter the difference of square areas $A B C D$ and $W X Y Z$ shown in Fig. 6, which can be expressed as

$$
\begin{align*}
& P_{R_{2}^{\prime \prime}}=P_{c}\left(R_{2}^{\prime \prime} \mid s_{2}\right)=P_{c}\left(W B X \mid s_{2}\right)=P_{W B X} \\
= & \frac{1}{4}\left(P_{A B C D}-P_{W X Y Z}\right) \tag{17}
\end{align*}
$$

where $P_{W B X}, P_{A B C D}$, and $P_{W X Y Z}$ are the areas of the $W B X$ triangle, $A B C D$ rectangle, and WXYZ rectangle, respectively, evaluated under the noise Gaussian distribution curve. $P_{A B C D}$ can be easily found to be

$$
\begin{gather*}
P_{A B C D}=P_{c}\left(A B C D \mid s_{2}\right) \\
P_{c}\left(A B C D \mid s_{2}\right)=\left(1-2 Q\left(2 \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right)\right)(1 \\
\left.-2 Q\left(2 \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right)\right)  \tag{18}\\
P_{c}\left(A B C D \mid s_{2}\right)=\left(1-2 Q\left(2 \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right)\right)^{2}
\end{gather*}
$$

$P_{W X Y Z}$ can be expressed as

$$
\begin{gather*}
P_{W X Y Z}=P_{c}\left(W X Y Z \mid s_{2}\right) \\
P_{c}\left(W X Y Z \mid s_{2}\right)=\left(1-2 Q\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right)\right)^{2} \tag{19}
\end{gather*}
$$

Therefore, from (17), (18), and (19), can be expressed as

$$
\begin{gather*}
P_{R_{2}^{\prime \prime}=P_{W B X}}=-Q\left(2 \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right)+Q^{2}\left(2 \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right) \\
+Q\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right) \\
-Q^{2}\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right) \tag{20}
\end{gather*}
$$

Using (15), (16), and (20), the area $P_{c 2}$ can be expressed as

$$
\begin{equation*}
P_{c 2}=1-Q\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right)+Q^{2}\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right)-Q\left(2 \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right) \tag{21}
\end{equation*}
$$

Next, $P_{c 3}$, which represents the probability of correct detection, given that the transmitted symbol corresponds to decision region Type 3, is evaluated. $P_{c 3}$, which is equivalent to the shaded area $\left(R_{3}\right)$ in Fig. 7, can be obtained by subtracting the triangle area $R_{3}^{\prime \prime}$ from the triangle area $R_{3}^{\prime}$ and then adding
the rectangular area $R_{3}^{\prime \prime \prime}$ to the difference, which can be written as

$$
\begin{gather*}
P_{c 3}=P_{c}\left(C \mid s_{3}\right)=P_{c}\left(R_{3} \mid s_{3}\right) \\
P_{c}\left(R_{3} \mid s_{3}\right)=P_{c}\left(R_{3}^{\prime} \mid s_{3}\right)-P_{c}\left(R_{3}^{\prime \prime} \mid s_{3}\right)+P_{c}\left(R_{3}^{\prime \prime} \mid s_{3}\right) \\
P_{c 3}=P_{R_{3}^{\prime}}-P_{R_{3}^{\prime \prime}}+P_{R_{3}^{\prime \prime \prime}} \tag{22}
\end{gather*}
$$

where $s_{3}$ implies that the transmitted symbol corresponds to decision region Type 3. Observe that $P_{R_{3}^{\prime}}$ (the area of $R_{3}^{\prime}$ ) can be easily expressed as

$$
\begin{align*}
& \left.P_{R_{3}^{\prime}}=P_{c}\left(R_{3}^{\prime} \mid S_{3}\right)=\frac{\left(1-Q\left(2 \sqrt{d^{\frac{\varepsilon}{g} g}} \frac{N_{0}}{N_{0}}\right.\right.}{)}\right)\left(1-Q\left(2 \sqrt{d^{\frac{\varepsilon}{N_{0}}}}\right)\right) ~ 2, ~ \\
& P_{R_{3}^{\prime}}=P_{c}\left(R_{3}^{\prime} \mid S_{3}\right)=\frac{1-2 Q\left(2 \sqrt{d^{2} \frac{\varepsilon g}{N_{0}}}\right)+Q^{2}\left(2 \sqrt{d^{2} \frac{\varepsilon g}{N_{0}}}\right)}{2} \tag{23}
\end{align*}
$$

Fig. 5. Detailing decision region Type $2\left(R_{2}\right): R_{2}$ is the Grayshaded area in the figure, which equals $R_{2}^{\prime}$ minus $R_{2}^{\prime \prime}$, where $R_{2}^{\prime}$ is the open-ended vertically-hashed square area ( $A B C D$ ) and $R_{2}^{\prime \prime}$ is the horizontally-hashed triangular area ( $W B X$ ).


Fig. 6. Calculating the area $R_{2}^{\prime \prime}$ in Fig. 5. $R_{2}^{\prime \prime}$ is one-quarter the difference of square areas $A B C D$ and $W X Y Z$

It can be shown that the area $R_{3}^{\prime \prime}$, is half of the $W X B$ triangle area calculated in (20). Therefore, the area $R_{3}^{\prime \prime}$ is given by

$$
\begin{array}{r}
P_{R_{3}^{\prime \prime}}=P\left(R_{3}^{\prime \prime} \mid s_{3}\right)=P_{c}\left(A W V \mid s_{2}\right)=\frac{P_{c}\left(W B X \mid s_{2}\right)}{2} \\
P_{R_{3}^{\prime \prime}}=\frac{-Q\left(2 \sqrt{d^{2} \frac{\varepsilon g}{N_{0}}}\right)+Q^{2}\left(2 \sqrt{d^{2} \frac{\varepsilon g}{N_{0}}}\right)+Q\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon g}{N_{0}}}\right)-Q^{2}\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon g}{N_{0}}}\right)}{2} \tag{24}
\end{array}
$$

Finally, the area $R_{3}^{\prime \prime \prime}(Z V C D)$ is the half of the open-ended rectangular area ( $Z W X D$ ) shown in Fig. 7, and therefore can be easily represented by the following equation

$$
\begin{align*}
& \left.P_{R_{3}^{\prime \prime \prime}}=P_{c}\left(R_{3}^{\prime \prime \prime} \mid s_{2}\right)=\frac{\left(1-Q\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon g}{N_{0}}}\right)\right)\left(1-2 Q\left(\sqrt{2} \sqrt{d^{\frac{\varepsilon}{2}}}\right)\right.}{2}\right) \\
& P_{R_{3}^{\prime \prime \prime}}=P_{c}\left(R_{3}^{\prime \prime \prime} \mid S_{2}\right)=\frac{1-3 Q\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon g}{N_{0}}}\right)+2 Q^{2}\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon g}{N_{0}}}\right)}{2} \tag{25}
\end{align*}
$$

Using equations (22) through (25), it can be shown that $P_{c 3}$ is given by

$$
\begin{align*}
P_{c 3} & =1-\frac{1}{2} Q\left(2 \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right)-2 Q\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right) \\
& +\frac{3}{2} Q^{2}\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right) \tag{26}
\end{align*}
$$



Fig.7. Detailing decision region Type $3\left(R_{3}\right): R_{3}$ is the Grayshaded area in the figure, which equals $R_{3}^{\prime}-R_{3}^{\prime \prime}+R_{3}^{\prime \prime \prime}$, where $R_{3}^{\prime}$ is the open-ended vertically-hashed triangular area (ABC),
$R_{3}^{\prime \prime}$ is the horizontally-hashed triangular area ( $A W V$ ), and $R_{3}^{\prime \prime \prime}$ is the open-ended rectangular area (ZVCD).

The last step in the analysis is to evaluate $P_{c 4}$, which represent the probability of correct symbol detection given that the transmitted symbol corresponds to decision region Type 4. $P_{c 4}$, which represents the shaded area $R_{4}$ in Fig. 8, can be obtained by subtracting the two triangles $R_{4}^{\prime \prime}$ and $R_{4}^{\prime \prime \prime}$ from the rectangular area $R_{4}^{\prime}$, and therefore can be written as

$$
\begin{gather*}
P_{c 4}=P_{c}\left(C \mid s_{4}\right)=P_{c}\left(R_{4} \mid s_{4}\right) \\
\left(C \mid s_{4}\right)=P_{c}\left(R_{4} \mid s_{4}\right)=P_{c}\left(R_{4}^{\prime} \mid s_{4}\right)-P_{c}\left(R_{4}^{\prime \prime} \mid s_{4}\right)-P_{c}\left(R_{4}^{\prime \prime \prime} \mid s_{4}\right) \\
P_{c 4}=P_{R_{4}^{\prime}}-P_{R_{4}^{\prime \prime}}-P_{R_{4}^{\prime \prime \prime}} \tag{27}
\end{gather*}
$$

where $s_{4}$ implies that the transmitted symbol corresponds to decision region Type 4. Using the MLR principle, it can be shown that the rectangular area $R_{4}^{\prime}$ is given by

$$
\begin{gather*}
P_{R_{4}^{\prime}}=P_{c}\left(R_{4}^{\prime} \mid s_{4}\right)= \\
\left(1-Q\left(2 \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right)\right)\left(1-2 Q\left(2 \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right)\right)  \tag{28}\\
P_{R_{4}^{\prime}}=1-3 Q\left(2 \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right)+2 Q^{2}\left(2 \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right)
\end{gather*}
$$

The triangular areas $R_{4}^{\prime \prime}$ and $R_{4}^{\prime \prime \prime}$ are equal and can be found in a similar fashion to the area and therefore the area is given by (20), which is repeated here for convenience

$$
\begin{array}{r}
P_{R_{4}^{\prime \prime}}=P\left(R_{4}^{\prime \prime} \mid s_{4}\right)=P_{c}\left(A W Z \mid s_{4}\right)=P_{c}\left(W B X \mid s_{4}\right)=P_{R_{4}^{\prime \prime \prime}} \\
P_{R_{4}^{\prime \prime}}=P_{R_{4}^{\prime \prime \prime}}=-Q\left(2 \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right)+Q^{2}\left(2 \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right)+ \\
Q\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right) \\
-Q^{2}\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right) \tag{29}
\end{array}
$$

Using (27), (28), and (29), $P_{c 4}$ is evaluated and found to be

$$
\begin{align*}
& \quad P_{c 4}=1-Q\left(2 \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right)-2 Q\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right) \\
& +2 Q^{2}\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right) \tag{30}
\end{align*}
$$



Fig. 8. Detailing decision region Type $4\left(R_{4}\right): R_{4}$ is the Grayshaded area in the figure, which equals $R_{4}^{\prime}-R_{4}^{\prime \prime}-R_{4}^{\prime \prime \prime}$, where $R_{4}^{\prime}$ is the open-ended vertically-hashed rectangular area $(A B C D), R_{4}^{\prime \prime}$ is the horizontally-hashed triangular area ( $A W Z$ ), and $R_{4}^{\prime \prime \prime}$ is the horizontally-hashed triangular area (WBX).

Finally, the total probability of correct symbol decoding, $P_{c}$, is found using (12), (14), (21), (26), and the values in Table 1. After few algebraic simplification steps, the result is given in the following expression

$$
\begin{align*}
P_{c}= & 1+\left(-4+8 \sqrt{\frac{1}{2 M}}-\frac{2}{M}\right) Q\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right) \\
& +\left(4-8 \sqrt{\frac{1}{2 M}}\right) Q^{2}\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right) \\
& +\left(-4 \sqrt{\frac{1}{2 M}}+\frac{4}{M}\right) Q\left(2 \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right) \tag{31}
\end{align*}
$$

The probability of symbol error for the $2^{2 k+1} M$ ary AMPM system in in presence of AWGN is $P_{e}=1-P_{c}$, which results in the following equation

$$
\begin{array}{r}
P_{e}=\left(4-8 \sqrt{\frac{1}{2 M}}+\frac{2}{M}\right) Q\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right) \\
+\left(8 \sqrt{\frac{1}{2 M}}-4\right) Q^{2}\left(\sqrt{2} \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right)+\left(4 \sqrt{\frac{1}{2 M}}-\frac{4}{M}\right) Q\left(2 \sqrt{d^{2} \frac{\varepsilon_{g}}{N_{0}}}\right) \tag{32}
\end{array}
$$

Using (9), (32), and the fact that the symbol energy is $r$ times the bit energy, where $r$ is the number of bits per symbol $\left(r=\log _{2}(M)\right), P_{e}$ can be rewritten as

$$
\begin{align*}
P_{e} & =\left(4-8 \sqrt{\frac{1}{2 M}}+\frac{2}{M}\right) Q\left(\sqrt{\frac{6 \log _{2}(M)}{(2 M-1)} \frac{\varepsilon_{b}}{N_{0}}}\right) \\
& +\left(8 \sqrt{\frac{1}{2 M}}-4\right) Q^{2}\left(\sqrt{\frac{6 \log _{2}(M)}{(2 M-1)} \frac{\varepsilon_{b}}{N_{0}}}\right) \\
& +\left(4 \sqrt{\frac{1}{2 M}}-\frac{4}{M}\right) Q\left(\sqrt{\frac{12 \log _{2}(M)}{(2 M-1)} \frac{\varepsilon_{b}}{N_{0}}}\right) \tag{33}
\end{align*}
$$

which coincides with the expression provided in [7], where Craig's approach, which requires evaluating complicated integrals, was used to obtain the probability of error expression. Observe that the above expression for $P_{e}$ is valid for all Odd-bit $M$ ary AMPM systems. Therefore, it can be used to obtain the specific probability of symbol error expressions for different odd-bit AMPM QAM systems as follows

For $M=8, P_{e}$ is found to be

$$
\begin{equation*}
P_{e}=\frac{9}{4} Q\left(\sqrt{\frac{6}{5} \frac{\varepsilon_{b}}{N_{0}}}\right)-2 Q^{2}\left(\sqrt{\frac{6}{5} \frac{\varepsilon_{b}}{N_{0}}}\right)+\frac{1}{2} Q\left(\sqrt{\frac{12}{5} \frac{\varepsilon_{b}}{N_{0}}}\right) \tag{34}
\end{equation*}
$$

While for $M=32, P_{e}$ is given by

$$
\begin{equation*}
P_{e}=\frac{49}{16} Q\left(\sqrt{\frac{10}{21} \frac{\varepsilon_{b}}{N_{0}}}\right)-3 Q^{2}\left(\sqrt{\frac{10}{21} \frac{\varepsilon_{b}}{N_{0}}}\right)+\frac{5}{8} Q\left(\sqrt{\frac{20}{21} \frac{\varepsilon_{b}}{N_{0}}}\right) \tag{35}
\end{equation*}
$$

and for $M=128, P_{e}$ is expressed as

$$
\begin{equation*}
P_{e}=\frac{225}{64} Q\left(\sqrt{\frac{14}{85} \frac{\varepsilon_{b}}{N_{0}}}\right)-\frac{7}{2} Q^{2}\left(\sqrt{\frac{14}{85} \frac{\varepsilon_{b}}{N_{0}}}\right)+\frac{9}{32} Q\left(\sqrt{\frac{28}{85} \frac{\varepsilon_{b}}{N_{0}}}\right) \tag{36}
\end{equation*}
$$

## IV. RESULTS - System Simulation and

 COMPARISON AGAINST THE UPPER BOUND LIMITMATLAB ${ }^{\circledR}$-based system simulation was performed to validate the derived expressions. Figure 9 shows complete agreement between the theoretical expressions and simulation results for different modulation orders.

The upper bound approximation limit for an odd-bit $M$-ary $\left(M=2^{2 k+1}\right)$ AMPM system is given by [reference]

$$
\begin{equation*}
P_{e}<4\left(1-\frac{3}{2 M}\right) Q\left(\sqrt{\frac{6 \log _{2}(M)}{2 M-1} \frac{\varepsilon_{b}}{N_{0}}}\right) \tag{37}
\end{equation*}
$$

The theoretical expressions in (34) through (36) where compared against the corresponding upperbound limits in Fig. 10. As expected, observe that the theoretical curves fall below the upper-bound limits.


Fig. 9. Exact theoretical expression results match simulations results for different modulation orders of an odd-bit $M$-ary AMPM system.


Fig. 10. Exact theoretical expression results, for different modulation orders of an odd-bit $M$-ary AMPM system, sit below the known system upper-bound limits.

## V. CONCLUSIONS

This paper proposed the use of a simple geometrical approach to develop an expression for the probability of symbol error of an odd-bit $M$-ary $\left(M=2^{2 k+1}\right)$ AMPM system in the presence of AWGN. The obtained theoretical expressions were validated using computer-based system simulations and were compared against the known upper bound limits for such systems.

## REFERENCES

[1] G. Proakis, Digital Communications, 4th Ed., McGraw-Hill Inc., 2001.
[2] Cable Television Laboratories, Inc., Radio Frequency Interface Specification (CM-SP-RFIv2.0-C02-090422), Data-Over-Cable Service Interface Specifications (DOCSIS 2.0), 2009.
[3] Cable Television Laboratories, Inc., Physical Layer Specification (CM-SP-PHYv3.0-I09101008), Data-Over-Cable Service Interface Specifications (DOCSIS 3.0), 2010.
[4] J. Cioffi, T. Starr, M. Sorbara, P. J. Silverman, S. Thomas, DSL Advances, Prentice Hall PTR, 2002.
[5] M.G. Shayesteh, "Exact symbol and bit error probabilities of linearly modulated signals with maximum ratio combining diversity in frequency nonselective Rician and Rayleigh fading channels," IET Commun, Vol. 5, Iss. 1, pp. 12-26, 2011.
[6] P. Fines, A.H. Aghvami, "Performance evaluation of high level coded modulation over satellite channels," in IEEE GLOBECOM, pp. 417-421, 1992.
[7] M. Vaezi, J. Habibi Markani, "Exact Expression and a Simple Tight Upper Bound for the SER of Odd CAP/QAM Constellation," in IEEE 68th Vehicular Technology Conference, pp. 1-4, 2008.
[8] B. Sklar, Digital communications, 2nd Ed., Prentice Hall, 2001.
[9] M. Naeem, S. S. Shah, H. Jamal, "Performance Analysis of Odd Bit QAM Constellation," in IEEE Symposium on Emerging Technologies, pp. 178 - 181, 2005.
[10] H. Xu, "Symbol Error Probability for Generalized Selection Combining Reception of M-QAM," in SAIEE Africa Research Journal, Vol. 100, No. 3, pp. 68-71, 2009.
[11] K.H. Li, P.Y. Kam, "On the Error Performance of 8 -QAM Involving a $\pi / 2$-WedgeShaped Decision Region," Communications and Signal Processing, 2003 and the Fourth Pacific Rim Conference on Multimedia. Proceedings of the 2003 Joint Conference of the Fourth International Conference on Information, vol. 2, pp. 884-887, 2003.
[12] J. W. Craig, "A new, simple and exact result for calculating the probability of error for twodimensional signal constellations," in IEEE MILCOM'91Conf. Rec., Boston, MA, 1991, pp. 571-575.

